



TFW

PTO/SB/21 (08-00)

Please type a plus sign (+) inside this box → ☐

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>TRANSMITTAL FORM</b> <i>(to be used for all correspondence after initial filing)</i>	<b>Application Number</b>	10/807,362	
	<b>Filing Date</b>	March 22, 2004	
	<b>First Named Inventor</b>	Thierry Glauser	
	<b>Group Art Unit</b>	1615	
	<b>Examiner Name</b>	Unknown	
<b>Total Number of Pages in This Submission (excluding references)</b>	6	<b>Attorney Docket Number</b>	50623.351

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Deposit Account 07-1850 Authorization	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input checked="" type="checkbox"/> Postage Paid Return Postcard	<input type="checkbox"/> Drawing(s) In/Formal ___ Sheets with Submission of Drawings Transmittal	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Response	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> Amendment Transmittal Letter (in duplicate)	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Petition for Extension of Time (___ months) (in duplicate)	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input checked="" type="checkbox"/> Information Disclosure Statement (in duplicate) with Form PTO-1449 (1 pg) citing 13 References	<input type="checkbox"/> Terminal Disclaimer	1) 12 References
<input type="checkbox"/> Express Mail Label No.	<input type="checkbox"/> Request for Refund	2) 1 Search Rep.
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application		
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		
<b>Remarks</b>		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Squire, Sanders & Dempsey L.L.P. Zhaoyang Li, Ph.D., Reg. No. 46,872
Signature	
Date	October __, 2005

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this date: October __, 2005			
Typed or printed name	Vera Breder		
Signature		Date	October __, 2005

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

Examiner: Unassigned

Thierry Glauser et al.

Serial No. 10/807,362

Art Unit: 1615

Filed: March 22, 2004

Title: PHOSPHORYL CHOLINE COATING COMPOSITIONS

---

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT  
PURSUANT TO 37 C.F.R. §§1.97-1.98**

Dear Examiner:

In accordance with the duty of disclosure under 37 C.F.R. §1.56 and pursuant to 37 C.F.R. §§1.97-1.98, Applicants hereby notify the U.S. Patent and Trademark Office of the references listed on the attached Form PTO-1449. The references were cited in the International Search Report and Written Opinion of a related foreign application, mailed September 13, 2005. A copy of the references and a copy of the Search Report are enclosed herein.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicants reserve the right to dispute the listed documents as prior art during examination. Furthermore, Applicants do not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application. The submission of this Supplemental Information Disclosure Statement is not to be construed as a representation that a search has been made or that no other material information may exist.

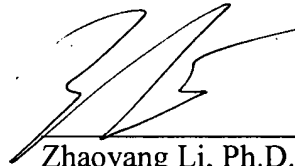
The Examiner is requested to initial the enclosed Form PTO-1449 and return a copy thereof to the undersigned.

The present Supplemental Information Disclosure Statement is being filed before receiving the first Office Action. Therefore, no certification under 37 C.F.R. §1.97(e) or fee under 37 C.F.R. §1.17(p) is required. However, the Commissioner is authorized to charge any deficiencies or other amounts due to Deposit Account No. 07-1850.

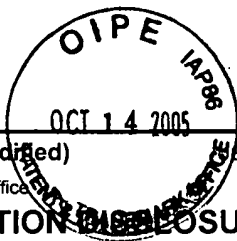
Date: October //, 2005

SQUIRE, SANDERS & DEMPSEY L.L.P.  
One Maritime Plaza, Suite 300  
San Francisco, CA 94111  
Telephone (415) 954-0200  
Facsimile (415) 393-9887

Respectfully submitted,

A handwritten signature in black ink, appearing to be 'Zhaoyang Li', written over a horizontal line.

Zhaoyang Li, Ph.D.  
Attorney for Applicants  
Reg. No. 46,872



FORM PTO-1449 (Modified)

US Patent and Trademark Office

U.S. DEPARTMENT OF COMMERCE

Docket No.

50623.351

Application No.

10/807,362

# **INFORMATION DISCLOSURE CITATION in an Application**

(Use several sheets if necessary)

Applicant

Thierry Glauser et al.

Filing Date

March 22, 2004

Group Art Unit

1615

## **U.S. PATENT DOCUMENTS**

Examiner Initial	Ref. No.	Document Number	Date of Patent	Name	Class	Subclass	Filing Date if Appropriate
	A1	6,270,788	8/7/01	Koulik et al.			
	A2						
	A3						
	A4						

## **U.S. PATENT APPLICATION PUBLICATION DOCUMENTS**

Examiner Initial	Ref. No.	Document Number	Date of Publication	Name	Class	Subclass	Filing Date if Appropriate
	A1	2005/0169957	8/4/05	Hossainy			

## **FOREIGN PATENT DOCUMENTS**

Examiner Initial	Ref. No.	Document Number	Date of Publication	Country	Class	Subclass	Translation	
							Yes	No
	B1	EP 0 947 205	10/6/99	EPO				
	B2	WO 01/52915	7/26/01	PCT				
	B3	WO 01/78800	10/25/01	PCT				
	B4	WO 02/40558	5/23/02	PCT				
	B5	WO 03/022324	3/20/03	PCT				
	B6	WO 2004/021976	3/18/04	PCT				
	B7							

## **OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)**

	C1	International Search Report and Written Opinion of a PCT/US2005/008844, filed 3/17/05, mailed 9/13/05.					
	C2	Berrocal et al., "Improving the Blood Compatibility of Ion-Selective Electrodes by Employing Poly(MPC-co-BMA), a Copolymer Containing Phosphorylcholine, as a Membrane Coating",					
	C3	Hilborn et al., "Biodegradable Phosphatidylcholine Functional Poly (E-Caprolactone)", Pol. Mat. Science and Eng. Vol. 88, 2003, pp.109-110.					
	C4	Iwasaki, "Molecular Design and Preparation of Bioinspired Phospholipid Polymer as Novel Biomaterials", Polymer Preprints, Soc. of Polymer Science, vol. 42, no. 2, 2001, pp. 117-118.					
	C5	Li et al., "Synthesis and Hemocompatibility Evaluation of Novel Segmented Polyurethanes with Phosphatidylcholine Polar Headgroups", Chemistry of Materials 10, American Chemical Society, 1998, pp. 1596-1603.					

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if references considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered.  
Include copy of this form with next communication to applicant.